

HEM SECURITIES LIMITED - DEPOSITORY PARTICIPANT (DP- CDSL)								
DATA FOR THE MONTH ENDING JULY 2026								
	RECEIVED FROM	CARRIED FORWARD FROM PREVIOUS MONTH	RECEIVED DURING THE MONTH	TOTAL PENDING	RESOLVED	PENDING AT THE END OF THE MONTH		AVERAGE RESOLUTION TIME (IN DAYS)
						PENDING FOR LESS THAN 3 MONTHS	PENDING FOR MORE THAN 3 MONTHS	
1	2	3	4	5	6	7		8
1	DIRECTLY FROM INVESTORS	0	1	0	1	0	0	0
2	SEBI (SCORES 2.0)	0	0	0	0	0	0	0
3	DEPOSITORIES	0	1	0	1	0	0	7 DAYS
4	OTHER SOURCES (IF ANY)	0	0	0	0	0	0	0
5	GRAND TOTAL	0	2	0	2	0	0	

TREND OF MONTHLY DISPOSAL OF COMPLAINTS					
SERIAL NO.	MONTH	CARRIED FORWARD FROM PREVIOUS MONTH	RECEIVED	RESOLVED	PENDING
1	2	3	4	5	6
1	Jan-22	0	1	1	0
2	Feb-22	0	0	0	0
3	Mar-22	0	0	0	0
4	Apr-22	0	2	2	0
5	May-22	0	0	0	0
6	Jun-22	0	0	0	0
7	Jul-22	0	1	1	0
8	Aug-22	0	0	0	0
9	Sep-22	0	1	1	0
10	Oct-22	0	1	1	0
11	Nov-22	0	0	0	0
12	Dec-22	0	0	0	0
13	Jan-23	0	0	0	0
14	Feb-23	0	0	0	0
15	Mar-23	0	0	0	0
16	Apr-23	0	7	5	2
17	May-23	2	0	2	0
18	Jun-23	0	1	1	0
19	Jul-23	0	1	1	0
20	Aug-23	0	1	1	0
21	Sep-23	0	1	1	0
22	Oct-23	0	1	1	0
23	Nov-23	0	2	2	0
24	Dec-23	0	0	0	0
25	Jan-24	0	1	1	0
26	Feb-24	0	1	1	0
27	Mar-24	0	0	0	0
28	Apr-24	0	0	0	0
29	May-24	0	0	0	0
30	Jun-24	0	2	0	2
31	Jul-24	2	1	3	0
32	Aug-24	0	0	0	0
33	Sep-24	0	0	0	0
34	Oct-24	0	2	2	0
35	Nov-24	0	1	1	0
36	Dec-24	0	0	0	0
37	Jan-25	0	1	1	0
38	Feb-25	0	1	1	0
39	Mar-25	0	1	0	1
40	Apr-25	1	2	3	0
41	May-25	0	0	0	0
42	Jun-25	0	0	0	0
43	Jul-25	0	0	0	0
44	Aug-25	0	0	0	0
45	Sep-25	0	0	0	0
46	Oct-25	0	0	0	0
47	Nov-25	0	0	0	0
48	Dec-25	0	0	0	0
49	Jan-26	0	0	0	0
50	Feb-26	0	0	0	0
51	Mar-26	0	0	0	0
52	Apr-26	0	0	0	0
53	May-26	0	0	0	0
54	Jun-26	0	1	1	0
55	Jul-26	0	2	2	0
	GRAND TOTAL	5	36	36	5

TREND OF ANNUAL DISPOSAL OF COMPLAINTS					
SERIAL NO.	YEAR	CARRIED FORWARD FROM PREVIOUS YEAR	RECEIVED DURING THE YEAR	RESOLVED DURING THE YEAR	PENDING AT THE END OF THE YEAR
1	2017-2018	0	0	0	0
2	2018-2019	0	0	0	0
3	2019-2020	0	1	1	0
4	2020-2021	0	11	11	0
5	2021-2022	0	5	5	0
6	2022-2023	0	5	5	0
7	2023-2024	2	16	16	2
8	2024-2025	2	9	8	3
9	2025-2026	1	2	3	0
10	2026-2027	0	3	3	0
	GRAND TOTAL	5	52	52	5

HEM SECURITIES LIMITED- PORTFOLIO MANAGEMENT SERVICES (PMS)							
DATA FOR THE MONTH ENDING JULY 2026							
SERIAL NO.	RECEIVED FROM	PENDING AT THE END OF LAST MONTH	RECEIVED	RESOLVED	TOTAL PENDING	PENDING COMPLAINTS > 3	AVERAGE RESOLUTION TIME (IN DAYS)
1	2	3	4	5	6	7	8
1	DIRECTLY FROM INVESTORS	0	0	0	0	0	0
2	SEBI (SCORES 2.0)	0	0	0	0	0	0
3	OTHER SOURCES (IF ANY)	0	0	0	0	0	0
4	GRAND TOTAL	0	0	0	0	0	0

TREND OF MONTHLY DISPOSAL OF COMPLAINTS					
SERIAL NO.	MONTH	CARRIED FORWARD FROM PREVIOUS MONTH	RECEIVED	RESOLVED	PENDING
1	2	3	4	5	6
1	Jan-22	0	0	0	0
2	Feb-22	0	0	0	0
3	Mar-22	0	0	0	0
4	Apr-22	0	0	0	0
5	May-22	0	0	0	0
6	Jun-22	0	0	0	0
7	Jul-22	0	0	0	0
8	Aug-22	0	0	0	0
9	Sep-22	0	0	0	0
10	Oct-22	0	0	0	0
11	Nov-22	0	0	0	0
12	Dec-22	0	0	0	0
13	Jan-23	0	0	0	0
14	Feb-23	0	0	0	0
15	Mar-23	0	0	0	0
16	Apr-23	0	0	0	0
17	May-23	0	0	0	0
18	Jun-23	0	0	0	0
19	Jul-23	0	0	0	0
20	Aug-23	0	0	0	0
21	Sep-23	0	0	0	0
22	Oct-23	0	0	0	0
23	Nov-23	0	0	0	0
24	Dec-23	0	0	0	0
25	Jan-24	0	0	0	0
26	Feb-24	0	0	0	0
27	Mar-24	0	0	0	0
28	Apr-24	0	0	0	0
29	May-24	0	0	0	0
30	Jun-24	0	0	0	0
31	Jul-24	0	0	0	0
32	Aug-24	0	0	0	0
33	Sep-24	0	0	0	0
34	Oct-24	0	0	0	0
35	Nov-24	0	0	0	0
36	Dec-24	0	0	0	0
37	Jan-25	0	0	0	0
38	Feb-25	0	0	0	0
39	Mar-25	0	0	0	0
40	Apr-25	0	0	0	0
41	May-25	0	0	0	0
42	Jun-25	0	0	0	0
43	Jul-25	0	0	0	0
44	Aug-25	0	0	0	0
45	Sep-25	0	0	0	0
46	Oct-25	0	0	0	0
47	Nov-25	0	0	0	0
48	Dec-25	0	0	0	0
49	Jan-26	0	0	0	0
50	Feb-26	0	0	0	0
51	Mar-26	0	0	0	0
52	Apr-26	0	0	0	0
53	May-26	0	0	0	0
54	Jun-26	0	0	0	0
55	Jul-26	0	0	0	0
	GRAND TOTAL	0	0	0	0

TREND OF ANNUAL DISPOSAL OF COMPLAINTS					
SERIAL NO.	YEAR	CARRIED FORWARD FROM PREVIOUS YEAR	RECEIVED	RESOLVED	PENDING
1	2018-2019	0	0	0	0
2	2019-2020	0	0	0	0
3	2020-2021	0	0	0	0
4	2021-2022	0	0	0	0
5	2022-2023	0	0	0	0
6	2023-2024	0	0	0	0
7	2024-2025	0	0	0	0
8	2025-2026	0	0	0	0
9	2026-2027	0	0	0	0
	GRAND TOTAL	0	0	0	0

HEM SECURITIES LIMITED - RESEARCH ANALYST							
DATA FOR THE MONTH ENDING JULY 2026							
SERIAL NO.	RECEIVED FROM	PENDING AT THE END OF LAST MONTH	RECEIVED	RESOLVED	TOTAL PENDING	PENDING COMPLAINTS > 3	AVERAGE RESOLUTION ON TIME (IN DAYS)
1	2	3	4	5	6	7	8
1	DIRECTLY FROM INVESTORS	0	0	0	0	0	0
2	SEBI (SCORES 2.0)	0	0	0	0	0	0
3	OTHER SOURCES (IF ANY)	0	0	0	0	0	0
4	GRAND TOTAL	0	0	0	0	0	0

TREND OF MONTHLY DISPOSAL OF COMPLAINTS					
SERIAL NO.	MONTH	CARRIED FORWARD FROM PREVIOUS MONTH	RECEIVED	RESOLVED	PENDING
1	2	3	4	5	6
1	Jan-22	0	0	0	0
2	Feb-22	0	0	0	0
3	Mar-22	0	0	0	0
4	Apr-22	0	0	0	0
5	May-22	0	0	0	0
6	Jun-22	0	0	0	0
7	Jul-22	0	0	0	0
8	Aug-22	0	0	0	0
9	Sep-22	0	0	0	0
10	Oct-22	0	0	0	0
11	Nov-22	0	0	0	0
12	Dec-22	0	0	0	0
13	Jan-23	0	0	0	0
14	Feb-23	0	0	0	0
15	Mar-23	0	0	0	0
16	Apr-23	0	0	0	0
17	May-23	0	0	0	0
18	Jun-23	0	0	0	0
19	Jul-23	0	0	0	0
20	Aug-23	0	0	0	0
21	Sep-23	0	0	0	0
22	Oct-23	0	0	0	0
23	Nov-23	0	0	0	0
24	Dec-23	0	0	0	0
25	Jan-24	0	0	0	0
26	Feb-24	0	0	0	0
27	Mar-24	0	0	0	0
28	Apr-24	0	0	0	0
29	May-24	0	0	0	0
30	Jun-24	0	0	0	0
31	Jul-24	0	0	0	0
32	Aug-24	0	0	0	0
33	Sep-24	0	0	0	0
34	Oct-24	0	0	0	0
35	Nov-24	0	0	0	0
36	Dec-24	0	0	0	0
37	Jan-25	0	0	0	0
38	Feb-25	0	0	0	0
39	Mar-25	0	0	0	0
40	Apr-25	0	0	0	0
41	May-25	0	0	0	0
42	Jun-25	0	0	0	0
43	Jul-25	0	0	0	0
44	Aug-25	0	0	0	0
45	Sep-25	0	0	0	0
46	Oct-25	0	0	0	0
47	Nov-25	0	0	0	0
48	Dec-25	0	0	0	0
49	Jan-26	0	0	0	0
50	Feb-26	0	0	0	0
51	Mar-26	0	0	0	0
52	Apr-26	0	0	0	0
53	May-26	0	0	0	0
54	Jun-26	0	0	0	0
55	Jul-26	0	0	0	0
	GRAND TOTAL	0	0	0	0

TREND OF ANNUAL DISPOSAL OF COMPLAINTS					
SERIAL NO.	YEAR	CARRIED FORWARD FROM PREVIOUS YEAR	RECEIVED	RESOLVED	PENDING
1	2018-2019	0	0	0	0
2	2019-2020	0	0	0	0
3	2020-2021	0	0	0	0
4	2021-2022	0	0	0	0
5	2022-2023	0	0	0	0
6	2023-2024	0	0	0	0
7	2024-2025	0	0	0	0
8	2025-2026	0	0	0	0
9	2026-2027	0	0	0	0
	GRAND TOTAL	0	0	0	0

HEM SECURITIES LIMITED - MERCHANT BANKING- SME IPO/FPO INCLUDING OFS							
DATA FOR THE MONTH ENDING JULY 2026							
SERIAL NO.	RECEIVED FROM	PENDING AT THE END OF LAST MONTH	RECEIVED DURING THE PARTICULAR MONTH	RESOLVED DURING THE PARTICULAR MONTH	TOTAL PENDING DURING THE PARTICULAR MONTH	PENDING COMPLAINTS > 1 MONTH	AVERAGE RESOLUTION ON TIME (IN DAYS)
1	2	3	4	5	6	7	8
1	DIRECTLY FROM INVESTORS	0	2	2	0	0	0
2	SEBI (SCORES 2.0)	0	0	0	0	0	7 DAYS
3	OTHER SOURCES (IF ANY)	0	0	0	0	0	0
4	GRAND TOTAL	0	2	2	0	0	0

TREND OF MONTHLY DISPOSAL OF COMPLAINTS					
SERIAL NO.	MONTH	CARRIED FORWARD FROM PREVIOUS MONTH	RECEIVED DURING THE PARTICULAR MONTH	RESOLVED DURING THE PARTICULAR MONTH	PENDING DURING THE PARTICULAR MONTH
1	2	3	4	5	6
2	Feb-22	0	0	0	0
3	Mar-22	0	0	0	0
4	Apr-22	0	0	0	0
5	May-22	0	0	0	0
6	Jun-22	0	0	0	0
7	Jul-22	0	1	1	0
8	Aug-22	0	0	0	0
9	Sep-22	0	0	0	0
10	Oct-22	0	0	0	0
11	Nov-22	0	0	0	0
12	Dec-22	0	0	0	0
13	Jan-23	0	2	2	0
14	Feb-23	0	0	0	0
15	Mar-23	0	1	1	0
16	Apr-23	0	0	0	0
17	May-23	0	0	0	0
18	Jun-23	0	1	0	1
19	Jul-23	1	0	1	0
20	Aug-23	0	0	0	0
21	Sep-23	0	0	0	0
22	Oct-23	0	0	0	0
23	Nov-23	0	1	1	0
24	Dec-23	0	2	0	2
25	Jan-24	2	1	3	0
26	Feb-24	0	1	0	1
27	Mar-24	1	0	1	0
28	Apr-24	0	1	1	0
29	May-24	0	0	0	0
30	Jun-24	0	0	0	0
31	Jul-24	0	0	0	0
32	Aug-24	0	1	1	0
33	Sep-24	0	0	0	0
34	Oct-24	0	0	0	0
35	Nov-24	0	0	0	0
36	Dec-24	0	0	0	0
37	Jan-25	0	0	0	0
38	Feb-25	0	0	0	0
39	Mar-25	0	0	0	0
40	Apr-25	0	0	0	0
41	May-25	0	0	0	0
42	Jun-25	0	0	0	0
43	Jul-25	0	0	0	0
44	Aug-25	0	0	0	0
45	Sep-25	0	0	0	0
46	Oct-25	0	0	0	0
47	Nov-25	0	0	0	0
48	Dec-25	0	1	0	1
49	Jan-26	1	2	2	1
50	Feb-26	1	0	1	0
51	Mar-26	0	1	0	1
52	Apr-26	1	1	2	0
53	May-26	0	2	2	0
54	Jun-26	0	1	1	0
55	Jul-26	0	2	2	0
	GRAND TOTAL	7	22	22	7

TREND OF ANNUAL DISPOSAL OF COMPLAINTS					
SERIAL NO.	YEAR	CARRIED FORWARD FROM PREVIOUS YEAR	RECEIVED DURING THE PARTICULAR YEAR	RESOLVED DURING THE PARTICULAR YEAR	PENDING DURING THE PARTICULAR YEAR
1	2018-2019	0	0	0	0
2	2019-2020	0	0	0	0
3	2020-2021	0	0	0	0
4	2021-2022	0	0	0	0
5	2022-2023	0	4	4	0
6	2023-2024	4	6	6	4
7	2024-2025	0	2	2	0
8	2025-2026	2	4	3	3
9	2026-2027	1	6	7	0
	GRAND TOTAL	7	22	22	7

HEM SECURITIES LIMITED - MERCHANT BANKING-RIGHT ISSUE							
DATA FOR THE MONTH ENDING JULY 2026							
SERIAL NO.	RECEIVED FROM	PENDING AT THE END OF LAST MONTH	RECEIVED DURING THE PARTICULAR MONTH	RESOLVED DURING THE PARTICULAR MONTH	TOTAL PENDING DURING THE PARTICULAR MONTH	PENDING COMPLAINTS > 1 MONTH	AVERAGE RESOLUTION ON TIME (IN DAYS)
1	2	3	4	5	6	7	8
1	DIRECTLY FROM INVESTORS	0	0	0	0	0	0
2	SEBI (SCORES 2.0)	0	0	0	0	0	7 DAYS
3	OTHER SOURCES (IF ANY)	0	0	0	0	0	0
4	GRAND TOTAL	0	0	0	0	0	0

TREND OF MONTHLY DISPOSAL OF COMPLAINTS					
SERIAL NO.	MONTH	CARRIED FORWARD FROM PREVIOUS MONTH	RECEIVED DURING THE PARTICULAR MONTH	RESOLVED DURING THE PARTICULAR MONTH	PENDING DURING THE PARTICULAR MONTH
1	2	3	4	5	6
1	Feb-26	0	0	0	0
2	Mar-26	0	0	0	0
3	Apr-26	0	0	0	0
4	May-26	0	0	0	0
5	Jun-26	0	0	0	0
6	Jul-26	0	0	0	0
	GRAND TOTAL	0	0	0	0

TREND OF ANNUAL DISPOSAL OF COMPLAINTS					
SERIAL NO.	YEAR	CARRIED FORWARD FROM PREVIOUS YEAR	RECEIVED DURING THE PARTICULAR YEAR	RESOLVED DURING THE PARTICULAR YEAR	PENDING DURING THE PARTICULAR YEAR
1	2025-2026	0	0	0	0
2	2026-2027	0	0	0	0
	GRAND TOTAL	0	0	0	0

HEM SECURITIES LIMITED - MERCHANT BANKING-QUALIFIED INSTITUTIONS PLACEMENT (QIP)							
DATA FOR THE MONTH ENDING JULY 2026							
SERIAL NO.	RECEIVED FROM	PENDING AT THE END OF LAST MONTH	RECEIVED DURING THE PARTICULAR MONTH	RESOLVED DURING THE PARTICULAR MONTH	TOTAL PENDING DURING THE PARTICULAR MONTH	PENDING COMPLAINTS > 1 MONTH	AVERAGE RESOLUTION ON TIME (IN DAYS)
1	2	3	4	5	6	7	8
1	DIRECTLY FROM INVESTORS	0	0	0	0	0	0
2	SEBI (SCORES 2.0)	0	0	0	0	0	7 DAYS
3	OTHER SOURCES (IF ANY)	0	0	0	0	0	0
4	GRAND TOTAL	0	0	0	0	0	0

TREND OF MONTHLY DISPOSAL OF COMPLAINTS					
SERIAL NO.	MONTH	CARRIED FORWARD FROM PREVIOUS MONTH	RECEIVED DURING THE PARTICULAR MONTH	RESOLVED DURING THE PARTICULAR MONTH	PENDING DURING THE PARTICULAR MONTH
1	2	3	4	5	6
1	Feb-26	0	0	0	0
2	Mar-26	0	0	0	0
3	Apr-26	0	0	0	0
4	May-26	0	0	0	0
5	Jun-26	0	0	0	0
6	Jul-26	0	0	0	0
	GRAND TOTAL	0	0	0	0

TREND OF ANNUAL DISPOSAL OF COMPLAINTS					
SERIAL NO.	YEAR	CARRIED FORWARD FROM PREVIOUS YEAR	RECEIVED DURING THE PARTICULAR YEAR	RESOLVED DURING THE PARTICULAR YEAR	PENDING DURING THE PARTICULAR YEAR
1	2025-2026	0	0	0	0
2	2026-2027	0	0	0	0
	GRAND TOTAL	0	0	0	0

HEM SECURITIES LIMITED - MERCHANT BANKING-PREFERENTIAL ISSUE							
DATA FOR THE MONTH ENDING JULY 2026							
SERIAL NO.	RECEIVED FROM	PENDING AT THE END OF LAST MONTH	RECEIVED DURING THE PARTICULAR MONTH	RESOLVED DURING THE PARTICULAR MONTH	TOTAL PENDING DURING THE PARTICULAR MONTH	PENDING COMPLAINTS > 1 MONTH	AVERAGE RESOLUTION ON TIME (IN DAYS)
1	2	3	4	5	6	7	8
1	DIRECTLY FROM INVESTORS	0	0	0	0	0	0
2	SEBI (SCORES 2.0)	0	0	0	0	0	7 DAYS
3	OTHER SOURCES (IF ANY)	0	0	0	0	0	0
4	GRAND TOTAL	0	0	0	0	0	0

TREND OF MONTHLY DISPOSAL OF COMPLAINTS					
SERIAL NO.	MONTH	CARRIED FORWARD FROM PREVIOUS MONTH	RECEIVED DURING THE PARTICULAR MONTH	RESOLVED DURING THE PARTICULAR MONTH	PENDING DURING THE PARTICULAR MONTH
1	2	3	4	5	6
1	Feb-26	0	0	0	0
2	Mar-26	0	0	0	0
3	Apr-26	0	0	0	0
4	May-26	0	0	0	0
5	Jun-26	0	0	0	0
6	Jul-26	0	0	0	0
	GRAND TOTAL	0	0	0	0

TREND OF ANNUAL DISPOSAL OF COMPLAINTS					
SERIAL NO.	YEAR	CARRIED FORWARD FROM PREVIOUS YEAR	RECEIVED DURING THE PARTICULAR YEAR	RESOLVED DURING THE PARTICULAR YEAR	PENDING DURING THE PARTICULAR YEAR
1	2025-2026	0	0	0	0
2	2026-2027	0	0	0	0
	GRAND TOTAL	0	0	0	0

HEM SECURITIES LIMITED - MERCHANT BANKING- IPO &FPO INCLUDING OFS							
DATA FOR THE MONTH ENDING JULY 2026							
SERIAL NO.	RECEIVED FROM	PENDING AT THE END OF LAST MONTH	RECEIVED DURING THE PARTICULAR MONTH	RESOLVED DURING THE PARTICULAR MONTH	TOTAL PENDING DURING THE PARTICULAR MONTH	PENDING COMPLAINTS > 1 MONTH	AVERAGE RESOLUTION ON TIME (IN DAYS)
1	2	3	4	5	6	7	8
1	DIRECTLY FROM INVESTORS	0	0	0	0	0	0
2	SEBI (SCORES 2.0)	0	0	0	0	0	7 DAYS
3	OTHER SOURCES (IF ANY)	0	0	0	0	0	0
4	GRAND TOTAL	0	0	0	0	0	0

TREND OF MONTHLY DISPOSAL OF COMPLAINTS					
SERIAL NO.	MONTH	CARRIED FORWARD FROM PREVIOUS MONTH	RECEIVED DURING THE PARTICULAR MONTH	RESOLVED DURING THE PARTICULAR MONTH	PENDING DURING THE PARTICULAR MONTH
1	2	3	4	5	6
1	Feb-26	0	0	0	0
2	Mar-26	0	0	0	0
3	Apr-26	0	0	0	0
4	May-26	0	0	0	0
5	Jun-26	0	0	0	0
6	Jul-26	0	0	0	0
	GRAND TOTAL	0	0	0	0

TREND OF ANNUAL DISPOSAL OF COMPLAINTS					
SERIAL NO.	YEAR	CARRIED FORWARD FROM PREVIOUS YEAR	RECEIVED DURING THE PARTICULAR YEAR	RESOLVED DURING THE PARTICULAR YEAR	PENDING DURING THE PARTICULAR YEAR
1	2025-2026	0	0	0	0
2	2026-2027	0	0	0	0
	GRAND TOTAL	0	0	0	0

HEM SECURITIES LIMITED - MERCHANT BANKING-BUYBACK							
DATA FOR THE MONTH ENDING JULY 2026							
SERIAL NO.	RECEIVED FROM	PENDING AT THE END OF LAST MONTH	RECEIVED DURING THE PARTICULAR MONTH	RESOLVED DURING THE PARTICULAR MONTH	TOTAL PENDING DURING THE PARTICULAR MONTH	PENDING COMPLAINTS > 1 MONTH	AVERAGE RESOLUTION ON TIME (IN DAYS)
1	2	3	4	5	6	7	8
1	DIRECTLY FROM INVESTORS	0	0	0	0	0	0
2	SEBI (SCORES 2.0)	0	0	0	0	0	7 DAYS
3	OTHER SOURCES (IF ANY)	0	0	0	0	0	0
4	GRAND TOTAL	0	0	0	0	0	0

TREND OF MONTHLY DISPOSAL OF COMPLAINTS					
SERIAL NO.	MONTH	CARRIED FORWARD FROM PREVIOUS MONTH	RECEIVED DURING THE PARTICULAR MONTH	RESOLVED DURING THE PARTICULAR MONTH	PENDING DURING THE PARTICULAR MONTH
1	2	3	4	5	6
1	Feb-26	0	0	0	0
2	Mar-26	0	0	0	0
3	Apr-26	0	0	0	0
4	May-26	0	0	0	0
5	Jun-26	0	0	0	0
6	Jul-26	0	0	0	0
	GRAND TOTAL	0	0	0	0

TREND OF ANNUAL DISPOSAL OF COMPLAINTS					
SERIAL NO.	YEAR	CARRIED FORWARD FROM PREVIOUS YEAR	RECEIVED DURING THE PARTICULAR YEAR	RESOLVED DURING THE PARTICULAR YEAR	PENDING DURING THE PARTICULAR YEAR
1	2025-2026	0	0	0	0
2	2026-2027	0	0	0	0
	GRAND TOTAL	0	0	0	0

HEM SECURITIES LIMITED - MERCHANT BANKING-DELISTING							
DATA FOR THE MONTH ENDING JULY 2026							
SERIAL NO.	RECEIVED FROM	PENDING AT THE END OF LAST MONTH	RECEIVED DURING THE PARTICULAR MONTH	RESOLVED DURING THE PARTICULAR MONTH	TOTAL PENDING DURING THE PARTICULAR MONTH	PENDING COMPLAINTS > 1 MONTH	AVERAGE RESOLUTION ON TIME (IN DAYS)
1	2	3	4	5	6	7	8
1	DIRECTLY FROM INVESTORS	0	0	0	0	0	0
2	SEBI (SCORES 2.0)	0	0	0	0	0	7 DAYS
3	OTHER SOURCES (IF ANY)	0	0	0	0	0	0
4	GRAND TOTAL	0	0	0	0	0	0

TREND OF MONTHLY DISPOSAL OF COMPLAINTS					
SERIAL NO.	MONTH	CARRIED FORWARD FROM PREVIOUS MONTH	RECEIVED DURING THE PARTICULAR MONTH	RESOLVED DURING THE PARTICULAR MONTH	PENDING DURING THE PARTICULAR MONTH
1	2	3	4	5	6
1	Feb-26	0	0	0	0
2	Mar-26	0	0	0	0
3	Apr-26	0	0	0	0
4	May-26	0	0	0	0
5	Jun-26	0	0	0	0
6	Jul-26	0	0	0	0
	GRAND TOTAL	0	0	0	0

TREND OF ANNUAL DISPOSAL OF COMPLAINTS					
SERIAL NO.	YEAR	CARRIED FORWARD FROM PREVIOUS YEAR	RECEIVED DURING THE PARTICULAR YEAR	RESOLVED DURING THE PARTICULAR YEAR	PENDING DURING THE PARTICULAR YEAR
1	2025-2026	0	0	0	0
2	2026-2027	0	0	0	0
	GRAND TOTAL	0	0	0	0

HEM SECURITIES LIMITED - MERCHANT BANKING-SUBSTANTIAL ACQUISITION OF SHARES & TAKEOVERS							
DATA FOR THE MONTH ENDING JULY 2026							
SERIAL NO.	RECEIVED FROM	PENDING AT THE END OF LAST MONTH	RECEIVED DURING THE PARTICULAR MONTH	RESOLVED DURING THE PARTICULAR MONTH	TOTAL PENDING DURING THE PARTICULAR MONTH	PENDING COMPLAINTS > 1 MONTH	AVERAGE RESOLUTION ON TIME (IN DAYS)
1	2	3	4	5	6	7	8
1	DIRECTLY FROM INVESTORS	0	0	0	0	0	0
2	SEBI (SCORES 2.0)	0	0	0	0	0	7 DAYS
3	OTHER SOURCES (IF ANY)	0	0	0	0	0	0
4	GRAND TOTAL	0	0	0	0	0	0

TREND OF MONTHLY DISPOSAL OF COMPLAINTS					
SERIAL NO.	MONTH	CARRIED FORWARD FROM PREVIOUS MONTH	RECEIVED DURING THE PARTICULAR MONTH	RESOLVED DURING THE PARTICULAR MONTH	PENDING DURING THE PARTICULAR MONTH
1	2	3	4	5	6
1	Feb-26	0	0	0	0
2	Mar-26	0	0	0	0
3	Apr-26	0	0	0	0
4	May-26	0	0	0	0
5	Jun-26	0	0	0	0
6	Jul-26	0	0	0	0
	GRAND TOTAL	0	0	0	0

TREND OF ANNUAL DISPOSAL OF COMPLAINTS					
SERIAL NO.	YEAR	CARRIED FORWARD FROM PREVIOUS YEAR	RECEIVED DURING THE PARTICULAR YEAR	RESOLVED DURING THE PARTICULAR YEAR	PENDING DURING THE PARTICULAR YEAR
1	2025-2026	0	0	0	0
2	2026-2027	0	0	0	0
	GRAND TOTAL	0	0	0	0